

Clarifying Search

A User-Interface Framework for Text Searches

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Abstract: Current user interfaces for textual database searching leave much to be desired: individually, they are often confusing, and as a group, they are seriously inconsistent. We propose a four-phase framework for user-interface design: the framework provides common structure and terminology for searching while preserving the distinct features of individual collections and search mechanisms. Users will benefit from faster learning, increased comprehension, and better control, leading to more effective searches and higher satisfaction.

Contents

- Introduction
 - The problem
 - Towards a Solution
- The Four-Phase Framework for Search
 - Formulation
 - Action
 - Review of results
 - Refinement
- Building Effective User Interfaces
- Case Studies
- Conclusions
- Appendix 1: Definitions
- Appendix 2: Feedback from Web Search Tools
- Appendix 3: Terminology Survey
- Acknowledgements
- References

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Introduction

The problem. We believe that an opportunity exists to improve user interfaces for textual database searching dramatically. The ideal user interface is as simple as possible, and it makes key features as clear as possible. But many of the current text-search interfaces—especially on the World Wide Web—are neither simple nor clear: they are often needlessly complex, and they very often obscure key features. The result is confusion, frustration, and failure for intermediate and advanced users as well as novices. Zero-hit outcomes occur on 30% of searches at some services, while huge numbers of hits distract users in many other cases. While online search services such as Infoseek, AltaVista, Lycos, WebCrawler, and Open Text are widely used, public and professional concern about the difficulty of finding information is great (Flynn, 1995; Hatlestad, 1996; Somerson, 1996). But we believe that improved designs can lead to a far greater number of positive outcomes. Recent studies appear to confirm that when users are given more information on and control over their searches, their performance and satisfaction increases (Koenemann and Belkin, 1996).

Improved user-interface design is clearly part of the solution; yet design has its own set of challenges. Consider the diversity of the user community a broadly accessible resource like the Web proposes to serve. One of the main sources of diversity, though by no means the only one, is differences in experience among users (Shneiderman, 1992):

First-time users need an overview to understand the range of services...plus buttons to select actions. Intermittent users need an orderly structure, familiar landmarks, reversibility, and safety during exploration. Frequent users demand shortcuts or macros to speed repeated tasks and extensive services to satisfy their varied needs.

Poor user-interface designs can be improved. But even then, as users move from one search service to another, inconsistencies can cause slower performance, uncertainty, mistaken assumptions, and failures to find relevant documents. For example, the search string “Hall effect” could produce (among many other possibilities) a:

- search on the exact string “Hall effect”
- case-insensitive search on the string “hall effect”
- probabilistic search for “Hall” and “effect”
- probabilistic search for “Hall” and “effect”, with higher weights if “Hall” and “effect” are in close proximity
- error message indicating missing AND/OR or other operators/delimiters
- Boolean search on “Hall” AND “effect”
- Boolean search on “Hall” OR “effect”

Many existing systems give little or no indication of which interpretation they are using. Nor does the above list hint at all the query-processing transformations in common use: there are also questions of stemming, stop words (see Appendix 1 for definitions of underlined words), relative weights of fields, etc. Finally, in many systems the results are displayed in a relevance ranking whose meaning is a mystery to many users (and sometimes a proprietary secret).

The suggestions given here are designed to be complementary to ongoing research in information retrieval interfaces and visualization. See, for example, Rao et al (1995), Shneiderman (1994), and Van House et al (1996), as well as various papers in the annual ACM SIGIR proceedings (ACM).

Towards a Solution. Based on experience with many systems (Shneiderman, 1992) and on recent effort with the Library of Congress’s THOMAS (Croft, Cook and Wilder, 1995) and

American Memory projects, we propose a four-phase framework for thinking about text-search user interfaces. We expect the framework to be of interest to information retrieval specialists who are concerned about user interfaces. Note, however, that this paper addresses only interfaces for finding information by searching. Browsing—of indices, alphabetical lists of terms, news articles, etc.—may be equally important in some applications, but it has its own set of challenges.

Admittedly, user-interface differences sometimes result from functionality differences: no Boolean system (in the usual sense of the term) can do probabilistic searches. Nonetheless, inconsistencies can and should be reduced greatly, and those that remain can and should be made clear. The basic automobile user interface is something we now take for granted, but it took many strange meanders over several decades to reach this level of standardization (Oliver and Berkebile, 1968; Buxton, 1989); and remaining inconsistencies like left/right variations from country to country still cause serious problems for travelers. As software designers, we should be able to do much better than we are doing now, and thereby to spare our users millions of conceptual fatalities.

In the remainder of this paper, we outline the four phases; make recommendations as to how, from the user-interface standpoint, to implement the phases; and show how two existing systems (one Web-based, one standalone) could be redesigned in accordance with our recommendations. We believe that designers armed with this information will be in a good position to satisfy the needs of all users, both in standalone situations and over networks including the World Wide Web.

The Four-Phase Framework for Search

The four-phase search process gives great freedom to designers of specific systems to offer a variety of features in an orderly and consistent framework. The phases are: **formulation** (what happens before the user starts a search); **action** (starting the search); **review of results** (what the user sees resulting from the search); and **refinement** (what happens after review of results and before the user goes back to formulation with the same information need).

Actually, before performing a search, users must consider their information need and clarify their search goals. But this is just what a computer system cannot help with.

1. Formulation: This is the most complex phase in that it involves decisions of several types, each of which may itself be complex. These decisions include the *sources* of the search, i.e., where to search; which *fields* of documents to search; what actual *text to search for*; and what *variants* of that text to accept. Some systems actually walk the user thru each of these decisions in succession, but they cannot always be made in a predetermined order; nor are we convinced they exhaustively cover the query-formulation possibilities.

a. Sources: The first step in performing a search is normally to decide where to search (Marchionini, 1995). This is often a single physical database, but increasingly it is multiple and distributed databases, accessed across a network.

Even if technically and economically feasible, searching all libraries or all collections in a library is frequently not the preferred decision. When users are confident they know where the truly relevant material is, they often prefer to limit the scope of their searches to a specific library (say, NASA, Princeton, or the DIALOG system), a specific collection in a library, or a specific range of documents in a collection.

In most cases, users decide “by inspection” where to search. However, this decision can also be made by an instance of exactly the same procedure as the final search. Specifically, some systems support a process called “collection selection”, in which the user’s query is run against a special database that describes the contents of all known databases (Callan et al, 1995). The result, instead of a list of best-matching documents, is a list of best-matching databases. The user can then run their query against one or more databases in the list.

b. Fields: Each document in a collection may have multiple fields (sometimes called attributes, components, or tags). Users may wish to limit their search to specific fields of documents within a collection. For example, users searching on common terms might prefer to retrieve only documents whose title contains that term, or at least to give a higher rank to documents whose title contains that term: see for example the elaborate weighting algorithm used by THOMAS (Croft, Cook, and Wilder, 1995).

Searches may also be restricted by structured fields (year of publication, volume number, language, media type, publisher, etc.). For example, searchers in the Congressional Record may wish to restrict searches to items involving a specific member of Congress.

c. What to search for: There are various ways to express what to search for in full text; the most important are probably (1) unstructured text, (2) text with embedded operators, and (3) text with operators specified separately. Pure unstructured-text interfaces are unusual: most of the popular Web search services (AltaVista, Infoseek, Lycos, etc.) and other systems such as INQUERY accept either unstructured text or text with embedded operators. An example of the latter is Infoseek’s “city-guide +Boston” (the words “city” and “guide” must appear in close proximity, and the word “Boston” is required). Finally, Open Text’s Power Search uses text with separate operators. All three ways can be effective, but only if they are used properly.

A key issue here is that of phrases. In many situations, especially with short queries, searches on meaningful phrases are much more effective than searches on the words of the phrase. Using phrases will generally increase precision at the expense of recall. For example, for someone searching for information on air pollution, the phrase “air pollution” is likely to find fewer irrelevant documents (higher precision) than the pair of words “air” and “pollution”—though it will tend to overlook relevant documents that refer to “air quality” or “atmospheric pollution” (lower recall). In particular, phrases facilitate searching on names: for example, a search on “James Billington” should not turn up “Jesse James”. It should be easy for users to specify, and easy for them to know if they have specified, that a series of words should be considered a phrase.

Unstructured text, approach (1), is often called “natural language”, and indeed, it *looks* like natural language, but this can be extremely misleading. For example, many systems treat “and” and “not” as stop words. In such a system, the query “bees and not honey” means the same thing as just “bees honey”: compared to the query “bees”, it is *more* likely to retrieve information about honey, not less. Even if the system pays attention to words like “and” and “not”, it may parse a complex query differently from the user’s intention. Similarly, a user might well assume that semicolons between words will be taken as an indication that the words are not part of a phrase, but Alta Vista has exactly the opposite interpretation. The only real solution to this kind of ambiguity is with feedback informing users of how the system interpreted their queries, but it is very difficult to give this kind of feedback in a way that will be clear to nontechnical persons.

In theory, text with embedded operators, approach (2), can be completely unambiguous, including specifying phrases; it can also specify fields. However, our experience has shown consistently that a great many users will have trouble with this approach. One reason is lack of standardization: the syntax and meaning of embedded operators vary considerably from one system to another, so it is easy to get confused. For example, in Alta Vista advanced search and Yahoo!, a “wildcard” (matching anything) is indicated with “*”; in Lycos, it’s “\$”. In Infoseek and Alta Vista, quotation marks around a series of words indicates the words must appear in close proximity; in Lycos, quotation marks have no such effect and in fact seem to be ignored. Another problem is the danger of inadvertent activation: innocently using text that the user thinks of as unstructured, but which contains characters or strings that will be interpreted as embedded operators. For example, some systems (WebCrawler and INQUERY among them) use parentheses to delimit phrases or other groupings; in such a system, pasting in text containing parentheses might result in prematurely ending a grouping.

Other than embedded operators, the only way we know of to specify phrases unambiguously is with an implementation of approach (3): the program considers the contents of every text-entry box as a phrase, and clearly says so on the screen. Then multiple entry boxes must be provided to allow for multiple phrases. (Of course, a text-entry box must also accept a single word.) If choices of Boolean operations, proximity restrictions or other strategies for combining the boxes are available, then users should be able to express them; regardless of whether any choices are available, users must be told what combining technique is being used. Ideally, users and/or service providers should have control over stop lists (common words, single letters, etc.); as a minimum, users should be warned when they try to search for a stop word.

The basic issue is always this: Does the program interpret the query the way the user intended it, and—even if it does—does the user *know* that the program interprets it that way? A significant advantage of approach (3) is that, correctly implemented, it is probably the easiest for users to understand.

It is important to allow searching structured fields (controlled-vocabulary text, dates, etc.) in databases that also contain unstructured text at the same time as text fields are searched. The user-interface issues for specifying “what to search for” in structured fields are the same as for standard database systems.

d. Variants: Users are very often unsure of the exact value of the field they want; indeed, there may not be any single value that is appropriate. As a result, users may want variants to be accepted. In structured fields of text databases, as in traditional databases, this may include a range on a numeric or date field. In unstructured text fields, interfaces may allow user control over:

- capitalization (case sensitivity)
- stemmed versions: searching for “teach” finds words like “teacher”, “teaching”, “teaches”
- partial matches: searching for “biology” retrieves “sociobiology” and “astrobiology”
- phonetic variants, e.g., from N-grams or soundex-like methods: searching for “Johnson” finds “Jonson”, “Jansen”, and “Johnston”
- synonyms: searching for “cancer” finds “malignant tumor”
- abbreviations/acronyms: searching for “Digital Equipment Corporation” finds “DEC”
- broader or narrower terms from a thesaurus (searching for “New England” finds “Vermont”, “Maine”, “Rhode Island”, “New Hampshire”, “Massachusetts”, and “Connecticut”, and vice versa).

In addition, this item should include stop words. (Of course, the fact that some words are stopped has nothing to do with variants in the normal sense.)

In all cases, the user interface should make it clear how variants are handled.

2. Action: Searches may be started explicitly or implicitly. The typical usage process in current systems is to have users click on a Search button to initiate the search and then wait for the results. But a very appealing alternative is that of “dynamic queries”: there is no Search button but the result set is continuously displayed and updated as phases of the search are changed. See, for example, the commercial system Folio Viewer, or research prototypes like Ahlberg and Shneiderman (1994) or Shneiderman (1994). This approach requires adequate screen space and high bandwidth, plus, for a large database, very rapid processing: whether it is feasible depends very much on the situation. However, when it is practical, the advantages are great: users can broaden, narrow, or refocus their search several times in as many seconds. Designers may also allow users to choose between approaches.

In situations where it is not practical to actually re-run the query and update results continuously—for example, when the database and the user are connected by a network with limited bandwidth—the “query preview” approach is worth considering (Doan et al, 1996). In this approach, changes to the query simply update a display (perhaps just an estimate) of the number of hits. The query is not actually re-run until the user requests the full results, presumably when they are satisfied that the number of hits is neither zero nor so high as to be cumbersome. However, it is not yet clear how such an approach can be applied to full-text information retrieval.

A final comment: users should have an obvious way to stop the search in case they feel it’s taking too long. Most if not all popular Web browsers have a Stop button, so this should not be an issue for Web interfaces. In addition, many window systems have a standard way of doing this that does not rely on any visible part of the user interface (henceforth “UI”), but less sophisticated users may not know that or may not remember how to activate it. (One would hope that this function would rarely be needed in dynamic-query systems, whose design response times must be very brief.) If the search interface includes both Search and Stop buttons, they should be close together.

3. Review of results: For some time, information retrieval interfaces have let users specify result set size (for example, a maximum of 100 documents), contents (which fields are displayed), sequencing of documents (alphabetically, chronologically, relevance ranked,...), and, occasionally, clustering (by field value, topics,...). All of these capabilities can be valuable, but they all simply try to make a list of documents easier to handle. A query against a large database, even a query that is well focused, can produce so many potentially-useful hits as to be overwhelming—say, several hundred or more. Fortunately, much more can be done to display results in a useful form.

Recent work in information retrieval interfaces, capitalizing on general information-visualization research, has dramatically expanded the limited traditional palette of display techniques. For example, LyberWorld (Hemmje et al, 1994) displays document icons in a circle, with terms around the circumference “pulling” the documents towards themselves; the terms can be moved and the strengths of their pulls varied. Rao et al (1995) describes such techniques as tilebars, perspective walls, cone trees, and document lenses. Swan and Allan (1996) discuss three-dimensional network displays, with the viewpoint adjustable in real time, to support clustering. Finally, “virtual reality” flythroughs of simulated document spaces are being explored intensively. For example, the Web page for Apple Computer’s HotSauce says “Download the HotSauce fly-through plug-in to fly through 3D representations of Web space right away.”

Search interfaces should also provide helpful messages to explain search results and to support progressive refinement. For example, if a stop word or misspelling is eliminated from a search input window, or stemmed terms, partial matches, or variant capitalizations are included, users should be made aware of these changes to their query. If the two words in a phrase are not found proximally, then feedback might be given about the occurrence of the words individually. If multiple phrases are being sought, then perhaps documents containing all phrases should be shown first and identified, followed by documents containing subsets, but if no documents are found with all phrases, this would be indicated. A fairly elaborate decision tree (perhaps 50 to 100 branches) of search outcomes and messages might be specified.

4. Refinement: One of the most important ways in which current information retrieval technology supports refining searches is relevance feedback. A search interface can support relevance feedback in a variety of ways. A recent article (Koenemann and Belkin, 1996) describes a user test of several ways, and suggests that users should be able to see and manipulate the words relevance feedback adds to the user's query. (Using the term "relevance feedback" in a user interface is not very satisfying: it is rather unintuitive, and it refers to the system's perspective, not the user's. But, at this point, it is almost completely standard. The only alternatives we have seen are "more like this" and Infoseek's and WebCrawler's "similar pages". Another possibility might be "high relevance".)

Another aspect of refinement is supporting successive queries. As searches are made, the system might keep track in a history buffer to allow review of earlier searches. In any case, progressive refinement should be convenient.

Finally, a system might make search results and the settings of each phase objects that can be saved, sent by e-mail, or used as input to other programs, for example visualization or statistical tools. But these are mostly matters of convenience, not refining the search.

Building Effective User Interfaces

Our goal in this section is to provide designers with general guidelines for effective user interfaces for information retrieval.

User-interface design is a large topic and a growing discipline (Shneiderman, 1992; Preece, 1994; Baecker et al., 1995). Guidelines documents from commercial providers such as Apple, Microsoft, and IBM are widely available and contain hundreds of good suggestions. Short lists of "golden rules" have been provided by several authors; Shneiderman (1992) offers eight rules that can be useful here. Rephrased for the context of information retrieval and the four-phase framework, they are:

1. Strive for consistency. Ensure that terminology, instructions, layout, color, and fonts are used consistently across search user interfaces. For example, changing the search-initiation button label from "search" to "query" or "browse" has been shown to slow user performance and lower satisfaction significantly (Mahajan and Shneiderman, 1996). Based on the user survey described in Appendix 3 and on our experience, we recommend using the terminology we have used above or the alternatives in Table 1.

Table 1. Terminology

Recommended term	Alternative
Sources	“Databases”.
What to Search for	“Phrases” (if the contents of an input box will be treated as a phrase) or just “Search for”.
Action	Nothing, i.e., omit the label entirely.
Search	“Start Search” may be clearer in some contexts, especially on the Web, where a button labelled “Search” might simply jump to a search page.

Changes to the location, size, or color of buttons also slow and confuse users, though to a lesser extent.

2. Provide shortcuts for skilled users. An obvious example here is the keyboard equivalents for menu commands that systems like the Mac OS and Microsoft Windows provide: these are particularly helpful because they’re self-documenting. As another example, users who already know a term or document identifier should not have to perform a time-consuming search or navigate through a lengthy series of menus and dialogs. (A separate issue is whether long series of menus and dialogs are desirable at all: see below.)

3. Offer informative feedback. This is the point we have emphasized in the discussion of the four-phase framework. The user should be informed about all aspects of the search they are preparing to do: the sources, fields, what is being searched for, and what variants are being allowed. When the search is complete, it should be obvious to the user what happened and why.

For example, why was a given document retrieved? In “conventional” text databases, presumably because words that appear in the query (or variants of them) also appear in the document, and simply highlighting them in a display of the document text is usually sufficient. But in hypertext (i.e., on the Web), a document may have been retrieved partly or entirely because of documents it links to, and things are not so easy. (Unfortunately, this difficulty is compounded by the fact that Web search tools never offer a “custom” display of pages they retrieve, so there is no way to see the retrieved document with query words highlighted.) Another example: when zero-hit or overwhelming-number-of-hit results are produced, users should be given some suggestions as to what to do next. A final example: it is critical to make clear what is being searched for, but many popular search tools do not. To see this, try the query “and or”—an extreme case, but one a student of linguistics or logic might conceivably give—in Infoseek, Lycos, or Yahoo. (See Appendix 2 for details of how this query behaves in a number of Web search tools.)

4. Design for closure. Users should know when they have searched a complete database or have viewed every item in a browse list. Traversing a deep menu tree is disorienting, especially when backtracking and exploration are expected. Under most situations, a broader tree with fewer levels is much better, since it allows users to reach their destination in fewer steps. Broad, shallow trees also reduce short-term memory load.

5. Offer simple error handling. Syntax errors should be prevented where possible; all error messages should be specific, constructive, and no more technical than necessary; and changes to search parameters should be easy to apply. For example, one error message from XINQUERY says “eval_query "" 50 "" inq_eval_query called with zero length query.”:

nearly incomprehensible to most non-programmers. A far preferable statement would be something like “No search text was given. Enter text and try again.”

6. Permit easy reversal of actions. Every action should be reversible so users can go back to a previous state in a session. In our context, the best example is probably keeping a history of queries given and letting users re-issue them. This is particularly valuable if the complete context of each query—for example, relevance feedback—is captured as well.

7. Support user control. In a well-designed interface, users initiate action, monitor progress of long searches, and always feel in control. Most users greatly prefer interfaces with no enforced sequence of actions; they should be able to set parameters for a search in whatever order they prefer. Another way to give users a sense of control is to provide a visual overview of an entire database (Ahlberg and Shneiderman, 1994); then visual feedback about search outcomes help users gain a better understanding of their progress.

8. Reduce short-term memory load. Keep a session history, so users can always go back and reuse previous effort. While spreading information over several screens may be graphically appealing, the burden of shifting from one screen to another is large. Studies show that more compact presentations on fewer screens are more effective. Compact presentations do take slightly longer to scan, but much less time than scanning several spread-out presentations. Similarly, in web page design, compact vertical presentations—reducing the need to scroll—are highly beneficial.

One additional rule specific to text-search interfaces is worth mentioning, especially because to some extent it contradicts rule 8: Allow plenty of space in text-entry boxes. This is particularly important because longer search text very often gives better recall and/or precision, and so users should be encouraged to use long search strings.

Case Studies: Two User-Interface Redesigns

To clarify both the framework and the user-interface guidelines, we will now give “before” and “after” examples of two text-search interfaces. One is Web-based; the other is a standalone application for a desktop computer.

Case Study 1: Web Interface

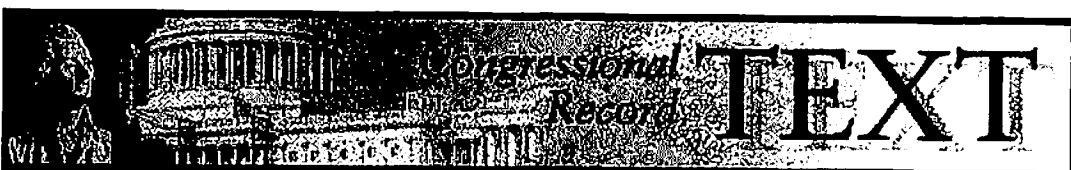
A recent version of the search page (Fig. 1) for the Library of Congress’s THOMAS system enables users to find text in the Congressional Record by full-text search. (Note that this is *not* the current version. While we were finishing this paper, Library of Congress redesigned the THOMAS page themselves; as of this writing, their page, located at <http://thomas.loc.gov/home/r104query.html>, has a significantly-improved version.) It is typical in many ways of search pages currently on the Web. With a modest amount of effort, knowledgeable users should be able to find what they are looking for, but it does leave several features unexplained and could be troubling to first-time users. For example, the page has multiple sets of search and clear buttons that perform the same function and may be confusing. The controls that allow a user to search certain sections are located near the “Word/phrase” box, but are not near the other attribute items such as date range and/or Congressperson. The control for the maximum number of items to return is below the final search button, where it may be overlooked by users scanning from top to bottom. Handling of variants, such as case-sensitivity and stemming, is not mentioned. The valid date range for the date range selector is not given. Finally, a list of Congresspersons would help users when entering a name.

Netscape: Search Full Text of the Congressional Record - 104th Congress



Location: http://thomas.loc.gov/home/r104query.html

What's New? What's Cool? Destinations Net Search People Software infoseek



104 103 102 101 100 99 98 97 96 95 94 93 92 91 90 89 88 87 86 85 84 83 82 81 80 79 78 77 76 75 74 73 72 71 70 69 68 67 66 65 64 63 62 61 60 59 58 57 56 55 54 53 52 51 50 49 48 47 46 45 44 43 42 41 40 39 38 37 36 35 34 33 32 31 30 29 28 27 26 25 24 23 22 21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1

SEARCH: Keyword | Congressional Record Index
LIMIT: Member of Congress | Date
BROWSE: Congressional Record Issues by Date/Part | Congressional Record Index
HELP: About the Congressional Record | Searching by Keyword | Searching/Limiting by Member of Congress | Searching/Limiting by Date | Interpreting Your Search Results

SEARCH CONGRESSIONAL RECORD TEXT:
Type your search in the box below. Press any "Search" button to begin.
[SEARCH] [CLEAR]

Keyword/phrase: [Help]
State Department budget
E.g., Line item veto, balanced budget, gasoline tax, water pollution
Search in: [X] All sections [] Senate section [] House section [] Extensions of Remarks
[SEARCH] [CLEAR]

LIMIT YOUR SEARCH TO:
1. Member of Congress: Only those debates/speeches where the following member spoke or submitted remarks for insertion in the Record:
franks [Help]
(Enter member last name only, e.g., Art, Gopher)
2. Date: Only those debates/speeches which appeared in the Record between:
[] and [Help]
E.g., 3/1/96 and 3/5/96
[SEARCH] [CLEAR]

Maximum number of items to be returned: [100]
Larger values may slow response time. Smaller values may miss relevant documents.

SEARCH CONGRESSIONAL RECORD INDEX:
Congress and Session: 104 - 2nd (1996) - 104 - 1st (1995)

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Guided by the four-phase framework, the revised version (Fig. 2; at <http://www.cs.umd.edu/projects/hcil/People/bas/workingExamples/congRec104.html>) uses an HTML table to organize the components. It starts out by clearly stating the Sources of the search, including the valid dates of the 104th Congress. The Fields section, whose elements limit the search, contains radio buttons for selecting a section of the text, a drop-down box for choosing a member of Congress, and a date range selector. The inclusion of a drop-down box eliminates the burden of spelling a Congressperson's name correctly. The date range now specifies valid dates for this search. (It would be better to replace the two boxes with a double box slider to specify the date range, allowing for rapid adjustments to the search.) Variants allowed are described for the user. Three phrases can be entered, one to a box (note, however, that in this example only the first box is functional). The maximum number of results to return can be set in the Results section. This section also provides the user with information on the sort order of the results. Finally, only one set of search/clear buttons appears.

As of this writing, 800x600-pixel displays seem to be about average. On such a display, the current search interface takes up about two screens, so scrolling is necessary to view all elements of the search. The revised interface fits on one 800x600 screen.

Overall, the the functionality of both searches is the same. However, we believe that the changes will shorten learning times, improve user effectiveness, reduce errors, increase retention, and raise satisfaction.

Case Study 2: Standalone Interface for a Desktop Computer

XINQUERY (Fig. 3) is a front end for CIIR's INQUERY retrieval engine; it runs under X Windows. XINQUERY supports single-database-at-a-time searches. Note that all major user-interface areas appear within a single window:

- In the upper left, a bargraph showing document-by-document scores for the retrieved documents (the area is blank until a query is run). Each bar in the bargraph corresponds to a document title, and the length of each bar corresponds to the relevance-score number to the left of the title.
- In the upper right, a text-entry area, into which an unstructured or structured query is entered.
- Just below those areas and across the window, an area in which a summary of the results (i.e., list of retrieved documents, with numeric scores estimating their relevance) is displayed. This area is also used to control relevance feedback: note the checkboxes under the column heading "RF".
- Further down still and across the window, an area in which the text of one of the retrieved documents may be displayed, with text matching the query highlighted. To display a document, the user double-clicks either a document title or its bar in the bargraph.

In addition, the window displays a number of buttons, all of the instantaneous kind; the name of the database currently being used; and some information about the search results.

XINQUERY's user interface exhibits many deficiencies (not all visible from these screen shots). To point out some of the more serious:

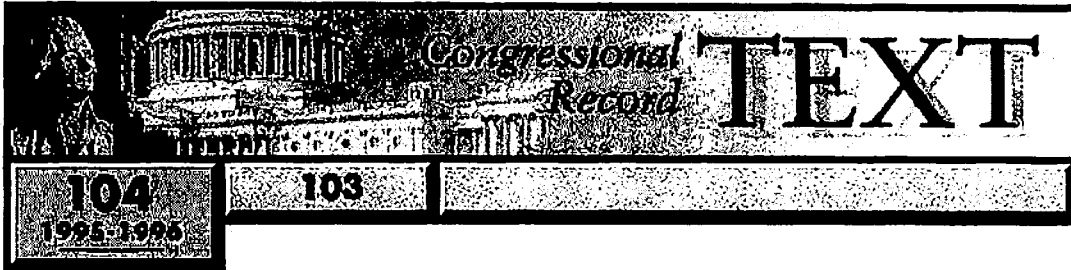
1. The actual numeric scores given for documents are virtually meaningless, even to experienced INQUERY users. Relative scores shown by the heights of the bars are much more useful, but it's not easy to see the close relationship between bars in the bargraph and documents in the results area.

Netscape: Search Full Text of the Congressional Record - 104th Congress

Navigation icons: Back, Forward, Home, Reload, Images, Open, Print, Find, Stop

Location: <http://www.cs.umd.edu/projects/hcil/People/bas/experiment/test7.html>

Navigation buttons: What's New?, What's Cool?, Destinations, Net Search, People, Software, infoseek



SEARCH: [Keyword](#) | [Congressional Record Index](#)
BROWSE: [Congressional Record Issues by Date/Part](#) | [Congressional Record Index](#)

Sources	Congressional Record entries for the 104th Congress (1995-1996).	
Fields	Sections to search : <input checked="" type="radio"/> All sections <input type="radio"/> Senate section <input type="radio"/> House section <input type="radio"/> Extensions of Remarks	
	Member of Congress: <input type="text" value="FRANKS, B."/>	Only those debates/speeches where the selected member spoke or submitted remarks for insertion in the <i>Record</i> [Help]
	Date: Between <input type="text" value="1/1/95"/> and <input type="text" value="12/31/96"/> [Help]	
Variants	Search is case-insensitive. Search will stem all words entered in Phrases. E.g., "join" will search for "join", "joined", "joining", etc...	
Phrases	<input type="text" value="State Department budget"/> E.g., Line item veto, balanced budget, gasoline tax, water pollution [Help]	
Results	Entries with the highest relevance will appear first in the list. Maximum number of entries to display: <input type="text" value="100"/> Larger values may slow response time.	
Action	<input type="button" value="SEARCH"/> <input type="button" value="Clear"/>	

SEARCH CONGRESSIONAL RECORD INDEX:

Congress and Session: [104 - 2nd \(1996\)](#) - [104 - 1st \(1995\)](#)

BROWSE:

Congressional Record Issues by Date and Part

Page citations and bill references in the Daily Digest are hyperlinked to the full-text *Congressional Record* and text of legislation databases for the 104th Congress.

Fig. 2

xinqery

File Collection Query Document Windows Browse Server Help

Bargraph Query

0.481
0.427
1 10

raw food business

Collection: wsj89 Server: hobart **Clear Ref Docs** **Clear/Restore Query** **Run Query**

RF No.	Score	Title	
<input type="checkbox"/> 1	0.481	WSJ890815-0029	Business Brief -- Handy & Harman: @ Second-Period
<input type="checkbox"/> 2	0.478	WSJ890918-0131	Giant Group Inc. @ Builds Eclectic Mix @ With Asper
<input type="checkbox"/> 3	0.475	WSJ890921-0019	Letters to the Editor: @ The Raw Truth @ On Sugar S
<input type="checkbox"/> 4	0.473	WSJ890929-0116	Borden Plans to Shrink Dairy Business, @ Streamline
<input type="checkbox"/> 5	0.468	WSJ890928-0020	Corporate Focus: @ Miles Will Lead Kraft General Foc

Document # 1 of 50:

Business Brief -- Handy & Harman:
 @ Second-Period Net Fall 17%
 @ On Lower Metals Prices
 WSJ890815-0029
 = 890815 08 15 89 WALL STREET JOURNAL (J)

Handy & Harman reported a 17% drop in second-quarter profit, citing lower prices for precious metals and other essential raw materials. The New York-based fabricator, processor and refiner of precious metals and producer of specialty metal products said net income declined to \$2.5 million, or 18 cents a share, from \$3 million, or 22 cents a share, a year earlier. Revenue rose 1.3% to \$167.8 million from \$165.7 million.

The figures reflect "substantial fluctuations in non-precious metal raw material prices," the company said.

Richard N. Daniel, chairman, said in a statement that profit expectations are better for the rest of the year as raw materials prices become more stable and as operating adjustments the company has made improve its competitive position.

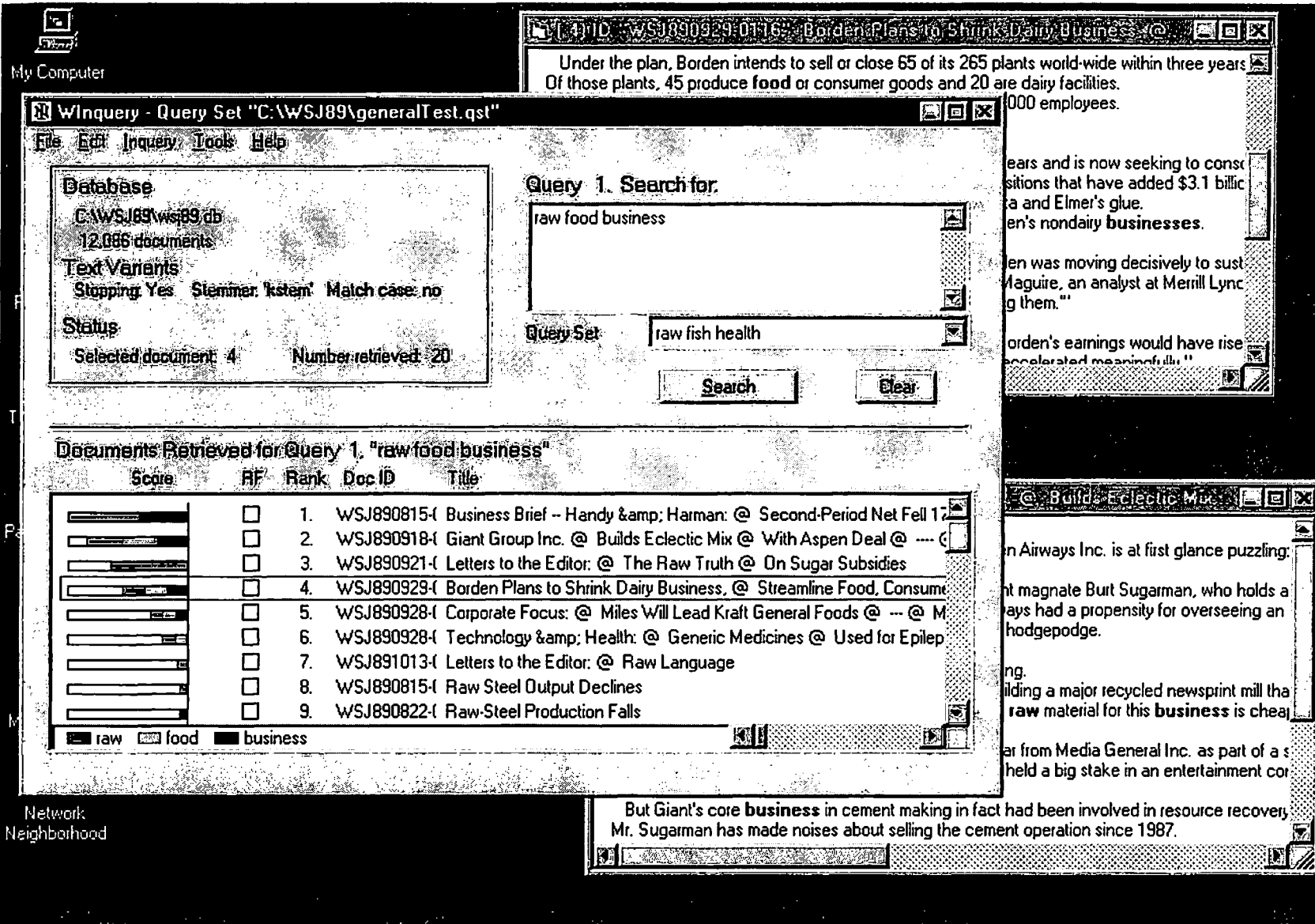
Show Next Keyword **Show Best Passage** **Prev Best** **Next Best** **Prev Doc** **Next Doc**

Fig. 3

2. The appearance of the entire UI in one window strongly suggests tight coupling between query display and results; but results are not updated until the user explicitly runs a query. As a consequence, the query and the results shown can easily be “out of sync”.
3. The only indications of what variants are in use are incomplete, vaguely worded, and available only through menu commands with unintuitive names.
4. Only one document can be viewed at a time, and not much of that document at a time, since much of the window is occupied by other “widgets”.
5. There’s a “query history” mechanism to support refining queries, but only for the current session: a user can save only individual queries.
6. Many error messages take the form of a beep when they occur: to find out what the problem is, the user must open a special error-list window. Even then, many of the messages are nearly incomprehensible to a non-programmer. The example we gave before, “eval_query "" 50 "" inq_eval_query called with zero length query”, is fairly typical.
7. It’s not as obvious as it could be whether relevance feedback is in use.

We began work on a new front end for INQUERY early in 1996. The current version, WInquery, is shown in Fig. 4; it is written in Visual Basic, and runs under Windows 95 and Windows NT. The version of WInquery shown is similar to XINQUERY in many ways: it also supports single-database-at-a-time searches, and much of the user interface is similar. However, WInquery addresses many of XINQUERY’s problems along the lines of the four-phase framework, though it follows the framework less strictly than the THOMAS redesign. Changes to the XINQUERY trouble spots we listed are as follows:

1. There is no separate bargraph area. Instead, the bar representing each document’s score appears horizontally, to the left of the document’s title in the summary. The numeric scores are normally hidden, but can be displayed if the user wants them.
2. To reduce the chances of a user incorrectly assuming results displayed correspond to the query displayed in the query-text window, the summary is headed by the query whose results it contains. In addition, query sequence numbers above the query-text window and with the summary-area heading indicate whether the two correspond or not.
3. The status of stemming, stopping, and case sensitivity (which are features of the database and cannot be changed) are shown underneath the database name at all times.
4. Several documents (currently a maximum of four) can be shown at once. Each appears in a separate window, which can be as large or small as desired.
5. Files of queries can be opened, modified, and saved. The history of queries issued in a session can be saved.
6. Error messages are straightforward, and they appear in modal windows when the error occurs. For example, trying to run a query with with an empty query-text field simply says “No query given. Type or paste something into the query area and try again.” (While this is certainly an improvement for most first-time or intermittent users, note that an expert might find XINQUERY’s default beep adequate and might prefer not to be bothered with a modal window. This issue could be addressed in several ways, e.g., with a preference to choose between the two methods.)



WSJ890929-0116: Borden Plans to Shrink Dairy Business
 Under the plan, Borden intends to sell or close 65 of its 265 plants world-wide within three years. Of those plants, 45 produce food or consumer goods and 20 are dairy facilities.
 000 employees.

WInquiry - Query Set "C:\WSJ89\generalTest.qst"

File Edit Inquiry Tools Help

Database
 C:\WSJ89\wsj89.db
 12,086 documents

Text Variants
 Stopping: Yes Stemmer: 'kstem' Match case: no

Status
 Selected document: 4 Number retrieved: 20

Query 1. Search for:
 raw food business

Query Set: raw fish health

Search Clear

Documents Retrieved for Query 1, "raw food business"

Score	RF	Rank	Doc ID	Title
	<input type="checkbox"/>	1.	WSJ890815-	Business Brief -- Handy & Harman: @ Second-Period Net Fell 1
	<input type="checkbox"/>	2.	WSJ890918-	Giant Group Inc. @ Builds Eclectic Mix @ With Aspen Deal @ --- C
	<input type="checkbox"/>	3.	WSJ890921-	Letters to the Editor: @ The Raw Truth @ On Sugar Subsidies
	<input type="checkbox"/>	4.	WSJ890929-	Borden Plans to Shrink Dairy Business, @ Streamline Food, Consum
	<input type="checkbox"/>	5.	WSJ890928-	Corporate Focus: @ Miles Will Lead Kraft General Foods @ -- @ M
	<input type="checkbox"/>	6.	WSJ890928-	Technology & Health: @ Generic Medicines @ Used for Epilep
	<input type="checkbox"/>	7.	WSJ891013-	Letters to the Editor: @ Raw Language
	<input type="checkbox"/>	8.	WSJ890815-	Raw Steel Output Declines
	<input type="checkbox"/>	9.	WSJ890822-	Raw-Steel Production Falls

raw food business

ears and is now seeking to consi
 sitions that have added \$3.1 billio
 a and Elmer's glue.
 en's nondairy **businesses**.

en was moving decisively to sust
 Maguire, an analyst at Merrill Lync
 g them."

orden's earnings would have rise
 accelerated meaningfully."

@ Builds Eclectic Mix

n Airways Inc. is at first glance puzzling:
 nt magnate Burt Sugarman, who holds a s
 ays had a propensity for overseeing an
 hodgepodge.

ng.
 lding a major recycled newsprint mill tha
 raw material for this **business** is cheap

ar from Media General Inc. as part of a s
 held a big stake in an entertainment cor

But Giant's core **business** in cement making in fact had been involved in resource recovery,
 Mr. Sugarman has made noises about selling the cement operation since 1987.

Fig. 4

7. If relevance feedback was used in evaluating a query, the caption above the summary area says so. If relevance feedback is set for the query that will be evaluated if the “Search” button is pressed, the button’s label says “Search with RF”.

Aside from addressing XINQUERY’s deficiencies, WInquery has the unusual feature of breaking the score bars into colored segments to form a “stacked histogram”, where each segment shows the contribution of a word in the query to the document’s score, according to the legend shown at the bottom of the window. This is reminiscent of Hearst’s tilebars (Rao et al, 1995), but the resemblance is mostly superficial. Tilebars provide information that stacked histograms omit, namely where words are used in each document; stacked histograms give information tilebars omit, namely the documents’ relative scores and how much each word contributed to each document’s score. (It might appear easy to infer a word’s contribution from a tilebar, but this is not so, since most systems assign different words very different weights.) For example, the first document’s high ranking came mostly from the word “raw”, with a smaller contribution from “business”. A user can also change the mapping of words to colors, assigning as many words as they want to a single color. This facility comes under the rubric of offering informative feedback.

A chart showing each user step and the corresponding system support can clarify a given system’s strengths and weaknesses, and so may be useful both in designing and evaluating systems. Table 2 is such a chart for WInquery.

Table 2. System Support for the Phases

Phase	System support
1. Formulation	
a. Sources	Open menu command; current source is displayed at all times
b. Fields	Embedded commands
c. Search for	Edit text box; displayed at all times
d. Variants	No options; treatment is displayed at all times; Show Parset Query command
2. Action	Start Search button and menu command
3. Review of Results	In Preferences command, set maximum items to retrieve, whether to display numeric scores, whether to show colors for query-term-contribution; user can change assignments of terms to colors; document display highlights matched words; can display several documents at once
4. Refinement	Relevance Feedback; support for query sets (user can choose and re-issue or modify any query from a query set; the name of the current query set is displayed at all times; query sets can be saved)

Finally, in a complex interface with many options, it might also be useful to break the system support down according to what it does for each category of user—for example, first-time, intermittent, or frequent.

Conclusions

In summary, the four-phase framework focuses on:

1. Formulation:

- **Sources:** specify which libraries and/or collections to search and the search range within them.
- **Fields:** each document in a collection may have multiple fields. Users specify which text fields are to be searched. Searches may also be restricted by structured fields.
- **What to search for:** users select or type in text, perhaps as one or more phrases. Users may have control over stop lists (common words, single letters, etc.).
- **Variants:** searches might allow user control over variant capitalization, stemmed versions, partial matches, phonetic variants from soundex methods, and synonyms, abbreviations, broader, or narrower terms from a thesaurus. In all cases, the user interface should make it clear which variants, if any, are allowed.

2. Action: how does a search get initiated—explicitly (e.g., with a button), or implicitly (e.g., when some aspect of the query is changed).

3. Review of results: conventional options are, for example, to specify result set size, layout, sequencing (alphabetically, chronologically, relevance ranked, etc.), and contents (which parts and fields are displayed). Less conventional interfaces might employ a wide variety of techniques, including many based on information-visualization research.

4. Refinement: provide feedback on search results with informative messages and clustering of results. For example, enable progressive querying, especially with relevance feedback; history keeping; and extraction of results to files, perhaps for use in e-mail.

The sample Web interface we discussed above requires nothing more advanced than HTML tables and forms, but in some cases, applying the framework successfully over the Web may require more powerful tools such as Java. On the other hand, while we have thought mostly about text situations and both of our sample interfaces are for full-text searching, we suspect our framework will prove appropriate for multimedia as well as text.

Finding common ground will be difficult; not finding it would be tragic. While early adopters of technology are willing to push ahead to overcome difficulties, the middle and late adopters will not be so tolerant. In particular, the future of the World Wide Web as a universally acceptable tool may depend on our ability to reduce the frustration and confusion of the masses of users, while enabling them to reliably find what they need.

Appendix 1: Definitions

The following definitions may facilitate discussion.

Inadvertent activation, in human factors, means activating a user-interface feature without intending to. In GUIs that use both single and double clicking extensively, a common mistake of new users is to double click when they intend to single click: the result is inadvertent activation of the double-click behavior.

An *information need* is the perceived need for information that leads to someone using an information retrieval system in the first place.

Two terms are commonly used in the evaluation of information retrieval systems: precision and recall. *Precision* is the ratio of the number of documents retrieved that “should” have been

retrieved—i.e., the number of retrieved documents that were really relevant to the query—to the total number of documents retrieved. *Recall* is the ratio of the number of relevant documents retrieved to the number of relevant documents in the database. Each can vary from 0 to 1, and the higher the value, the better.

Morris Hirsch (1996) has given an elegant statement of why these concepts are important:

If you use *any* text search system, you will soon encounter two language-related problems: (1) low recall: multiple words are used for the same meaning, causing you to miss documents that are of interest; (2) low precision: the same word is used for multiple meanings, causing you to find documents that are not of interest.

Relevance feedback is the process of taking retrieved documents that have been determined to be good examples of what the user wants, and using them to produce an improved query. Determining which retrieved documents are good ones is normally done by the user (for example, clicking a “Similar Pages” button in Infoseek).

Stemming means converting words to their presumed roots. For example, “blacker”, “blackest”, and “blacks” may all be converted to “black”.

Stop words are words that the system ignores, normally because they are assumed to be so common as to carry little information useful for distinguishing relevant documents from non-relevant ones, while burdening the system with much larger index files, data structures, and so on.

A *controlled-vocabulary* field in a database is one that accepts only words or other values from a pre-defined list. The term “controlled vocabulary” can also be applied to entire systems.

Unstructured text or *free text* (as distinguished from structured text or text involving controlled vocabulary) is text in which any word or sequence of words might appear, and no word is “reserved” to carry some special meaning. For example, in a system in which “and” is a Boolean operator, “cats and dogs” would be structured text; in a system in which it is not a Boolean operator, the same series of words might be unstructured text. Unstructured text is often called “natural language”, but this can be extremely misleading: see What to Search For (above) for more details.

Appendix 2: Feedback from Web Search Tools for a Difficult Query

The query “and, or” is one a student of linguistics or logic looking for information about conjunction and disjunction might conceivably give, but both words are often used as Boolean operators; when they are not, they are often listed as stop words. For this query, as of early November 1996:

- Yahoo responds “Please use a non-empty search string.”: surely more confusing than helpful to almost any user.
- Excite responds “No query terms were found in index.” This is more sensible, but excessively technical and again confusing.
- Infoseek responds “Infoseek found no results for your search.”: slightly better yet, but still confusing, since it gives no indication of why.
- Lycos says “You searched all sites for: ‘and or’. You found 6001 relevant documents from a total of 68,173,788 indexed Web pages: and”. So Lycos actually searched for and found documents, but its response is still confusing because it apparently ignored the “or”.

- Open Text allows searching for “these words” or “this phrase”. Searching for words, it says “Your search would have resulted in exactly 35,348,185 matches on the Open Text Index. Please refine your search.” Searching for a phrase, it finds thousands of matches.
- WebCrawler responds clearly with “No documents matching ‘and, or’”.
- Alta Vista’s Simple mode makes clear what it did by saying (the numbers are word counts) “Ignored : or: 46370646; and: 223696255. No documents match the query.”
- Alta Vista’s Advanced mode (in which “and” and “or” are treated as operators) says “Syntax error(bad query)”: a rather technical statement, but probably justified for a mode that is labelled “advanced”.

Removing the comma between “and” and “or” had no effect in any case.

Appendix 3: Results of a Survey on Terminology Preferences

We did a survey on “Terminology Preferences for Text-Searching Programs”. All of the subjects were adult, native speakers of English with at least a high-school education. Of the 16 subjects, 7 were male and 9 female. Every subject had at least a little experience using computers, but several had absolutely no experience with text searching. On the other end of the scale, none was an expert on computers in general or an expert text searcher.

We showed subjects a “sample search page” that was actually a screen shot of an earlier version of the redesign of THOMAS (Case Study 1, above), but with the labels “Sources”, “Fields”, “Variants”, “Action”, and “Search” respectively replaced by “Term 1” thru “Term 5”. For each of these, we explained the concept and asked the subjects for their preference. We gave the subjects a list of possibilities, but encouraged them to choose a term of their own or even nothing at all (i.e., leave that label blank).

Below are the questions and the subjects’ preferences. No number following a choice means no one preferred it. “(S)” denotes choices suggested by the subjects; the other choices were those we provided. To avoid ambiguity, terms containing nonalphabetic characters appear in quotes.

Term 1 (Sources)

Universe: 2 (S) “Search what?”: 1	Databases: 5	Scope: 1	Sources: 7
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Term 2 (Fields)

Fields: 9 Restrictions: 1	Attributes: 3 (S)	Filters	Limits: 3
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Term 3 (Variants)

Text variants: 1 Text handling: 1	Text matching: 3 Equivalents: 4	Text matching options: 3 (S) “Note.” : 1	Variants: 3
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Term 4 (Action)

Initiation: 1 Run: 3	Start-up Action: 6	Query: 1 (S) [leave the box empty]: 4	Submit: 1
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Term 5 (Search)

Search: 6
(S) Go: 1

Start Search: 7

Run

Run Query: 2

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